

**COMMONWEALTH OF KENTUCKY
PUBLIC PROTECTION CABINET
DEPARTMENT OF HOUSING, BUILDINGS AND CONSTRUCTION
AGENCY CASE NO. 2015-0030-HVAC
(Division Case# KY-15-04-0007-CE)**

DEPARTMENT OF HOUSING,
BUILDINGS AND CONSTRUCTION, and
THE BOARD OF HEATING, VENTILATION, AND
AIR CONDITIONING CONTRACTORS

COMPLAINANT

v.

AGREED ORDER

HUBERT R. MCGAUGHEY d/b/a AIR CONTROL
HEATING & AIR

RESPONDENT

1. The Department of Housing, Buildings and Construction, Division of Heating, Ventilation, and Air Conditioning (“DHBC”) and the Board of Heating, Ventilation, and Air Conditioning Contractors (“HVAC Board”) regulate and license heating, ventilation, and air conditioning (“HVAC”) master contractors and journeyman mechanics in accordance with the provisions set forth in KRS 198B.650 through 198B.689. Additionally, the DHBC and HVAC Board enforce all statutes, regulations, and applicable code requirements related to HVAC work in the Commonwealth of Kentucky.

2. Hubert R. McGaughey, d/b/a. Air Control Heating & Air. (“Respondent”) was, at all relevant times herein, a Kentucky resident residing at 1088 Chetford Dr., Lexington, KY 40509-2066.

3. Respondent is currently a Kentucky licensed Master HVAC Contractor (license #HMO1106), and has been since December 30, 2014.

4. On April 14, 2015, the DHBC investigated Respondent's HVAC work performed at 152 W. Tiverton Way, Lexington, KY 40503-4437, a commercial property. As a result of that investigation, DHBC discovered that Respondent had employed an unlicensed worker to perform HVAC work, Mr. Anthony Moore, without providing him with supervision or direction from a Journeyman and from a Master HVAC Contractor., in violation of 815 KAR 8:010, Section 1(2); and the 2013 Kentucky Building Code, Section 122.3, which require that the employees and subcontractors operate under the general direction of a Master HVAC Contractor, and that all HVAC work be performed by a licensed Journeyman HVAC Mechanic working under the supervision of a licensed Master HVAC Contractor.

5. Accordingly, on April 22, 2015, the DHBC notified Respondent in writing of the intent to take action against his Master HVAC Contractor license and impose fines, advised him of his right to request an administrative hearing pursuant to KRS Chapter 13B, and offered him the opportunity to resolve the matter via agreed order.

6. The DHBC possesses a range of statutory and administrative authority in addressing violations of KRS 198B.650 through 198B.689, the regulations promulgated thereunder, and the Uniform State Building Code, including license revocation and suspension, and the imposition of fines in an amount up to \$1,000 per violation, with each day the violation continues constituting a separate offense. *See* KRS 198B.990(1).

7. In this case, the DHBC has imposed a fine against Respondent for the above-referenced violations in the amount of one thousand dollars (\$1,000.00).

8. In the interest of economically and efficiently resolving the violations described herein, the parties agree as follows:

a. Respondent has agreed to and shall pay a total fine of one thousand dollars \$1,000.00 no later than **June 1, 2015**. Said payment may be in the form of a certified check or money order made payable to “Kentucky State Treasurer” and mailed to the Department of Housing, Buildings and Construction, Attn: Victoria K. Holmes, 101 Sea Hero Road, Suite 100, Frankfort, Kentucky, 40601; or may be made by credit or debit card.

b. Respondent shall not engage in HVAC activity in the absence of the appropriate license, and shall devote the time and resources reasonably necessary to ensure continual and full compliance with the Uniform State Building Code, and all statutory and regulatory requirements set forth in KRS 198B.650 to 198B.689 and 815 KAR Chapter 8; and

c. The DHBC agrees to pursue no further administrative action against the Respondent based upon the violations described herein.

9. Respondent waives his right to an administrative hearing or to otherwise appeal or set aside this Order.

10. Respondent consents to and acknowledges the jurisdiction of the DHBC over this matter and that this Agreed Order is a matter of public record and may be disseminated as such.

11. In consideration of execution of this Agreed Order, Respondent for himself, and for his successors and assigns, hereby releases and forever discharges the Commonwealth of Kentucky, the DHBC, Office of Legal Services, and each of their members, agents, and employees in their individual capacities, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known and unknown, in law or equity, that Respondent ever had, now has,

may have or claim to have against any or all of the persons or entities named in this paragraph or Agreed Order arising out of or by reason of this investigation, this disciplinary action, this settlement, or its administration.

12. By signing below, the parties acknowledge they have read the foregoing Agreed Order, know and fully understand its contents, and that they are authorized to enter into and execute this Agreed Order and legally bind their respective parties.

13. This Agreed Order shall constitute the Final Order in this matter.

IT IS SO ORDERED on this the 9th day of June 2015.



GARY A. FECK
Acting Commissioner
Department of Housing, Buildings
and Construction,
Chairman of the Board of Heating,
Ventilation, and Air Conditioning
Contractors

Agreed to:

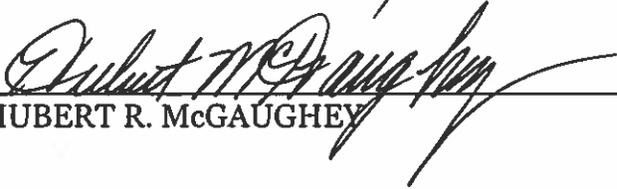
This 8th day of June 2015.



Roger Banks, Director
Division of HVAC
Department of Housing, Buildings and Construction

Agreed to:

This 26 day of MAY 2015.


HUBERT R. McGAUGHEY

ACKNOWLEDGEMENT

STATE/Commonwealth of Kentucky

COUNTY OF Fayette

On this the 27th day of May 2015, before me Angela McGaughey, the undersigned, HUBERT R. McGAUGHEY, did personally appear and entered into and executed the foregoing instrument for the purposes therein contained.

In witness whereof I hereunto set my hand.

My Commission Expires: 1/5/19




Notary Public

CERTIFICATE OF SERVICE

A true and accurate copy of the foregoing *Agreed Order* was served via U.S. Postal Service, first class, postage prepaid on this the 9th day of June 2015, to the following:

Hubert R. McGaughey
Air Control Heating and Air
1088 Chetford Dr.
Lexington, KY 40509-2066


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